PTO/SB/21 (08-00)

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Approved for use through 10/31/2002. OMB 0651-0031

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OIPE			Applic	ation Numb r	10/016,4	72	$\overline{}$		
TRANSMITTAL MAY 0 6 2002 FORM (to be used for all correspondence after initial filing)				Date	December 10, 2001 OR				
				lamed Inventor	Anthony J. Grzesiak, et al.				
				Art Unit	3613	3613			
(to be used for all correspondence after initial filing)				ner Name	(To Be Assigned)				
Total Number of Page	s in This Submissior		Attorn	ey Docket Number	DKT 000	65A (BWI-00056)			
		ENCL	OSURES	(check all that apply)					
⊠ Ege Transmittel Form			ment Par Application		After Allowance Communication to Group				
Fee Attached	l	☐ Drawin	Drawing(s)			Appeal Communication to Board of Appeals and Interferences			
Amendment / Res	sponse	Licensing-related Papers			Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)				
After Final		Petition			Proprietary Information				
Affidavits/dec	claration(s)	Petition to Convert to a Provisional Application			Status	Letter			
X Extension of Time	Power of Attorney, Revocation Change of Correspondence Address			Other Enclosure(s) (please identify below):					
			Terminal Disclaimer			eturn Receipt Postcard			
Express Abandonment Request		Request for Refund							
Information Discl	CD, N	ımber of	CD(s)						
Certified Copy of Priority Document(s)			1. Please change the Attorney Docket No. for this patent application to DKT-00065A.						
Response to Missi Incomplete Applic	Response to Missing Parts/ 2. Please			e note that the enclosed Declaration & Power of Attorney document of 6 pages in order to collectively contain signatures of all applicants.					
Response to Missing Parts under 37 CFR 1.52 or 1.53 3. The have paccome charge \$794.0 author			The statutory basic filing fee (including the fee for additional claims) should be previously been paid by Deposit Account. In the transmittal letter which companied this patent application, applicant authorized the Patent Office to large applicant's Deposit Account No. 023182 (BorgWarner Inc.) in the amount of 4.00. If the Deposit Account has not yet been charged, please consider this as thorization to make the proper deduction from Deposit Account No. 501612 arn, Burgess & Hoffmann, P.C.).						
	SIGNA	TURE OF	APPLIC	ANT, ATTORNEY, O	R AGENT				
Firm or Individual name Philip R. Warn - Reg No. 32775									
Signature									
Date April 25, 2002									
		CE	RTIFICA	TE OF MAILING					
•	•			n the United States Pos n, D.C. 20231 on this d		s first class mail in an enve	lope		
Typed or printed nam					<u> </u>				
Signature		Date	April 25, 2002						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark

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CES TO A NOMITTAL	Complete if Known						
O FEE TRANSMITTAL	Application Number	10/016,472	COOL				
MAY 0 6 2002 For FY 2002	Filing Date	December 10, 2001	ORIGINAL PAPE				
8	First Named Inventor	Anthony J. Grzesiak, et al.	FILE				
Paten fees are subject to annual revision.	Examiner Name	(To Be Assigned)					
Patent fees are subject to annual revision.	Group / Art Unit	3613					
TOTAL AMOUNT OF PAYMENT (\$) 530	Attorney Docket No.	DKT 00065A (BWI-0056)					

TOTALA				330	-	71110111	ey Docke		!		
METHOD OF PAYMENT (check one)					FEE CALCULATION (continued)						
1.	The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:			3. ADI	DITIONAL Large Entity	. FEES	Small Entity				
Deposit Account		501612				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number		301012				105	130	205	65	Surcharge - late filing fee or oath	130.00
Deposit	L					127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
Account		Warn, Burge	ss & Hoffmann, F	.C.		139	130	139	130	Non-English specification	
Name	L					147	2,520	147	2,520	For filing a request for reexamination	
☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17						112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity status. See 37 CFR 1.27						113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. 🛛 Pay	ment i	Enclosed:				115	110	215 .	55	Extension for reply within first month	
	٠ .	Credit card	d	☐ Other		116	400	216	200	Extension for reply within second month	400.00
FEE CALCULATION						117	920	217	460	Extension for reply within third month	
1. BASIC	FILIN	G FEE	ALUGEATION			118	1,440	218	720	Extension for reply within fourth month	
•	ty Sm	•				128	1,960	228	980	Extension for reply within fifth month	
Fee Fee Code (\$)	Fee Co		Fee Description	n Fee Paid		119	320	219	160	Notice of Appeal	
Code (\$) 101 740	201		1 Hillity filling for	ree Paid	- I	120	320	220	160	Filing a brief in support of an appeal	
106 330	206		Utility filing fee	 	-	121	280	221	140	Request for oral hearing	
107 510	207	7 255	Design filing fee Plant filing fee		վ [138	1,510	138	1,510	Petition to institute a public use proceeding	
108 740	208		Reissue filing fe		J [140	110	240	55	Petition to revive – unavoidable	
114 160	214	- 80	Provisional filling	fee	J	141	1,280	241	640	Petition to revive – unintentional	
		SUBTOTA	1 (1)	(\$) 0	- I	142	1,280	242	640	Utility issue fee (or reissue)	
			·- (' /			143	460	243	230	Design issue fee	
2. EXTRA CL	AIM F	EES				144	620	244	310	Plant issue fee	
				ee from Fee elow Paid		122	130	122	130	Petitions to the Commissioner	
Total Claims		¬. •• ₌		= 0	7 I	123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
Independent Claims			0 x	= 0	i l	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent		_	x [= 0		581	40	581	40	Recording each patent assignment per property (times number of properties)	
-	-	mall Entit	•			146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Fee Fe) C	ee Fee ode (\$)	Fee Descript		ı	149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
103 18		03 9	Claims in exc			170	740	270	270		
102 84		02 42		claims in excess of 3		179	740	279		Request for Continued Examination (RCE)	
104 28 109 84		04 140 09 42	** Reissue ind	ndent claim, if not paid dependent claims over		169 900 169 900 Request for expedited examination of a design application					
110 18	2	10 9	original paten ** Reissue cla over original p	ims in excess of 20 and		Other fee (specify)					
		S	UBTOTAL (2)	(\$) 0		*Reduc	ed by Bas	sic Filing	Fee Pa	id SUBTOTAL (3) (\$) 530	
**or number pre	eviously	paid, if greate	r; For Reissues, se	e above	L						

SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	Philip R. Warm	Registration No. Attorney/Agent)	32775	Telephone	(248) 364-4300				
Signature	J. J. L.			Date	April 25, 2002				

Page 1 of 1

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MAY 0 6 2002
APPLICATION NUMBER F

FIL&IG/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/016,472

RADEMARY

12/10/2001

Anthony J. Grzesiak

DKT 00065

CONFIRMATION NO. 4573

FORMALITIES LETTER

OC000000007301553

Patent Docket Administrator BorgWarner Inc. 3001 W. Big Beaver Rd. - Suite 200 P.O. Box 5060 Troy, MI 48007-5060

Date Mailed: 01/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/07/2002 SZEWDIE1 00000027 10016472

FILED UNDER 37 CFR 1.53(b)

02 FC:105

130.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$54.
 - \$54 for 3 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 924.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE